



CT **1035** New Business Exchange Processing Software

Automate paperless and traditional replacement processing without the R&D costs

The **CT 1035 New Business Exchange System** expedites replacements by providing straightforward management of workflow requirements and communication involved with securing funds for annuity, life and mutual funds.

CT 1035 Exchange enables carriers to be in charge of their new business replacements by:

- **Reducing operation costs** with paperless and traditional replacement specific workflows.
- **Providing compliance assurance** and **decreasing Not-In-Good-Order** replacements with integrated state, ceding carrier and in-house requirements.
- **Automating customized correspondence** including letters, e-mails and XML messages.
- **Delivering exceptional partner/producer support** with instant replacement policy status via the client's website, email and XML communications.
- **Interfacing replacement data with existing systems.**
- **Capturing and reporting reliable replacement records** for outstanding replacement fund management and partner communication.
- **Instantly identifying which policies need action**, summarizing outstanding policies and prioritizing policy processing assignments.
- **Reflecting individual company's business practices** and nomenclature in the customized field properties, screen labels, work flows, reports and products.
- **Providing efficient implementation**, fast deployment and an immediate ROI.

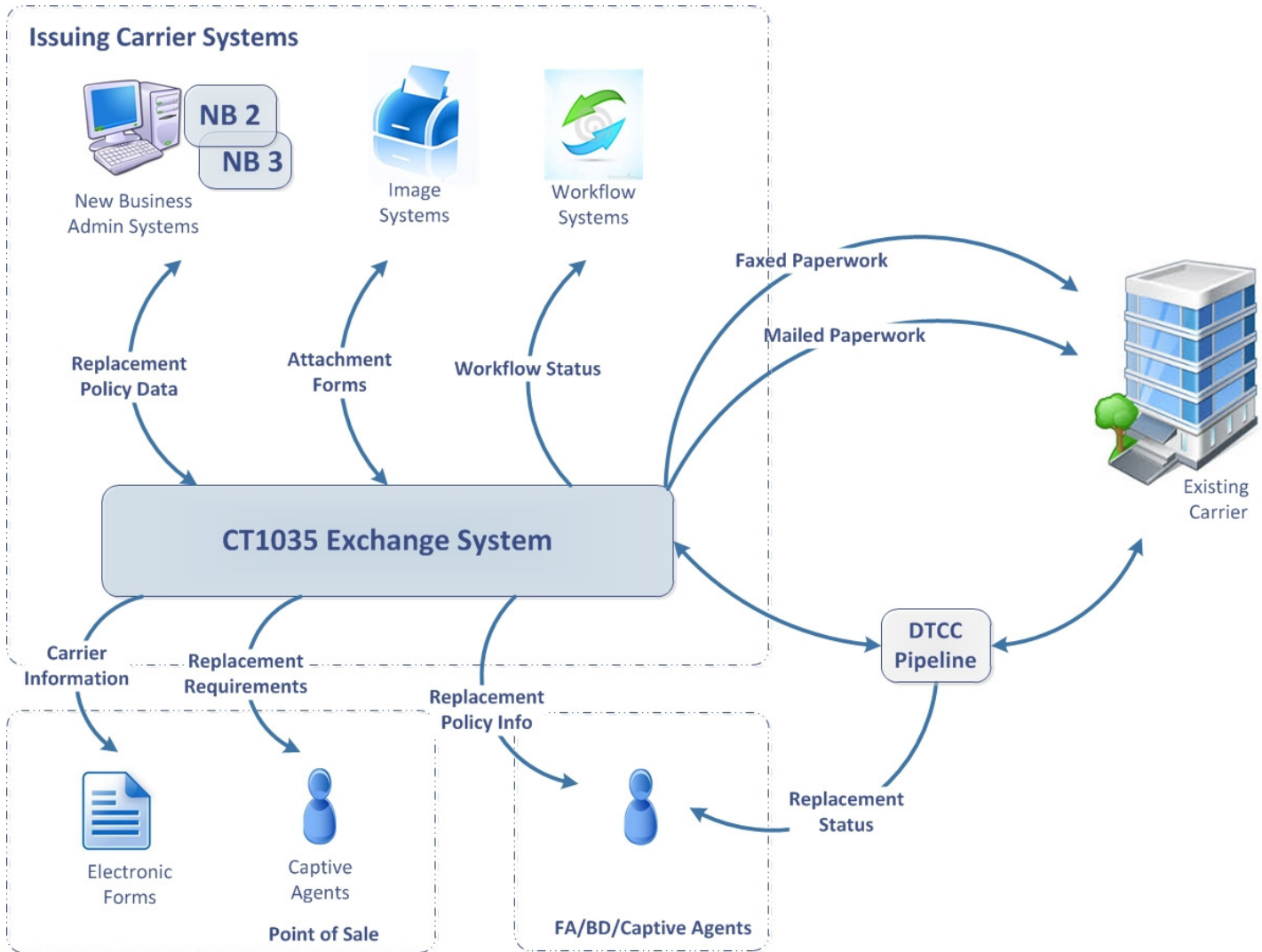
Integrated Ceding Carrier Database

The **CT 1035** Exchange System's integrated Ceding Carrier Database provides DTCC Replacement Participant indicators and electronic routing details, paperless and traditional replacement requirements, and processing location contact information. This data is dynamically tied to each pending replacement and helps ensure that replacement requests are sent **In-Good-Order**, to the correct ceding carrier processing location the first time. This ceding carrier data, including all annuity, life and government insurers, top mutual fund companies, major banks, credit unions, fraternal organizations and large pension administrators can also be electronically accessed from other systems and producer interfaces.

Hybrid Replacement Solution

The proven **CT 1035** Exchange System allows seamless processing and tracking of traditional and paperless replacements from one processor/user interface. Straight-through processing of paperless replacements is done through automated XML messages utilizing ACORD XMLife and DTCC standards.

CT 1035 Exchange interfaces replacement data with unlimited existing systems.



XML messages are managed through the system's Message Router Service, which:

- Associates messages with a policy allowing transaction history to be viewed and reported
- Monitors incoming and outgoing messages and formats them into XML using the ACORD Life and Annuity replacement standards
- Validates the data fields against the DTCC and ACORD rules before messages are sent and notifies the user if any fields are missing information
- Transmits all messages and their attachments to DTCC and automatically forwards status messages back to the user interface
- Disseminates inbound transfer response and replacement request messages from DTCC and pulls the information from the XML directly into the user interface
- Maintains the message history